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4398-21



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 17, 2004

PTAS



\*102535458A\*

NIXON & VANDERHYE P.C.  
PAUL T. BOWEN  
1100 N. GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/22/2003

REEL/FRAME: 014421/0481  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CALLUAUD, MICHEL

DOC DATE: 01/13/1998

ASSIGNOR:  
YERBURY, VICTOR

DOC DATE: 12/19/1997

ASSIGNEE:  
RESMED LIMITED  
82 WATERLOO ROAD  
NORTH RYDE, NEW SOUTH WALES

AUSTRALIA  
2113

SERIAL NUMBER: 09466971  
PATENT NUMBER:

FILING DATE: 12/20/1999  
ISSUE DATE:

014421/0481 PAGE 2

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



RECO!

08-27-2003



102535458  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office

Our Ref.: 4398-21

**Mail Stop Assignment Recordation Services**

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1 Michel Calluaud

2 Victor Yerbury

8.22.03

2. Name and address of receiving party(ies):

Name: ResMed Limited

Internal Address: \_\_\_\_\_

Street Address: 82 Waterloo Road

City: North Ryde, New South Wales

State/Country: Australia

Zip: 2113

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Assignment

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date:

(1) January 13, 1998

(2) December 19, 1997

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).

(1) 09/466,971

(2) \_\_\_\_\_

(3) \_\_\_\_\_

B. Patent No(s).

(1) \_\_\_\_\_

(2) \_\_\_\_\_

(3) \_\_\_\_\_

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul T. Bowen

Internal Address: \_\_\_\_\_

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8<sup>th</sup> Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

08/26/2003 DBYRNE 00000119 09466971

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul T. Bowen

Name of Person Signing

Reg. No. 38,009

Paul T. Bowen

Signature

August 22, 2003

Date

Total number of pages including **original** cover sheet, attachments, and document:

[3]

3

## A S S I G N M E N T

WHEREAS, Michel CALLUAUD and Victor YERBURY, whose post office addresses are shown below, have made a certain new and useful invention relating to SUBSTANCE DELIVERY APPARATUS, for which we have made application for Letters Patent of the United States, which application may be identified in the United States Patent Office as Application Serial No. 08/989,150, filed on December 12, 1997, for SUBSTANCE DELIVERY APPARATUS; and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, ResMed Limited, an Australian company, ACN 003 765 142, whose post office address is 82 Waterloo Road, North Ryde, New South Wales 2113 Australia, is desirous of acquiring the entire interest in and to said invention, said application, or any continuation, division, renewal, or substitute thereof, and the Letters Patent, or any reissue or re-examination thereof, to be obtained therefor:

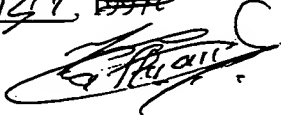
NOW THEREFORE, for and in consideration of the sum of Ten Dollars Australian (A\$10.00) and other good and valuable consideration to us in hand paid, the receipt and sufficiency of which are hereby acknowledged, we have sold, assigned, and set over and by these presents do hereby sell, assign and set over unto the said ResMed Limited, and said assignee's legal representatives, successors and assigns, the entire right, title and interest in and to said invention, said application or any continuation, division, renewal or substitute thereof, international and foreign and regional applications corresponding thereto, and the Letters Patent, both foreign and domestic, that may or shall issue thereon, or any reissue or re-examination thereof; and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above-mentioned assignee, consistent with the terms of this Assignment.

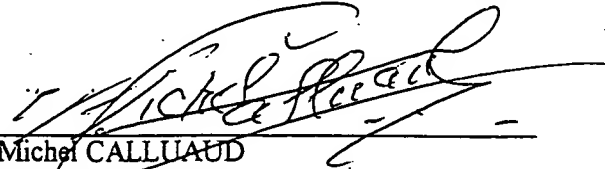
We HEREBY AUTHORIZE the above-mentioned assignee to insert in this instrument the filing date and serial number of our said application.

UPON SAID CONSIDERATION, we hereby covenant and agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States, or of any and all foreign countries, on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by

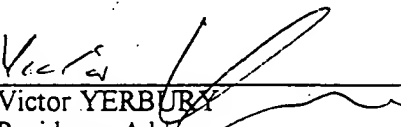
executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date indicated below.

January 24 12th 1998  


  
Michel CALLUAUD  
Residence Address:  
Villa 13 Bayview Gardens  
36 Cabbage Tree Road  
Bayview, New South Wales 2104  
AUSTRALIA

19 December, 1997

  
Victor YERBUR  
Residence Address:  
68 Kintore Street  
Wahroonga, New South Wales 2076  
AUSTRALIA

DENVER:0809883.01